

Notice of Meeting

Audit & Governance Committee




SURREY
COUNTY COUNCIL

Date & time
Thursday, 26 May
2016
at **10.00 am**

Place
Members Conference
Room, County Hall,
Kingston Upon
Thames, KT1 2DN

Contact
Angela Guest
Room 122, County Hall
Tel 020 8541 9075

Chief Executive
David McNulty

 We're on Twitter:
@SCCdemocracy

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This meeting will be held in public. If you would like to attend and you have any special requirements, please contact Angela Guest on 020 8541 9075.

Members

Mr Stuart Selleck (Chairman), Mr Denis Fuller (Vice-Chairman), Mr W D Barker OBE, Mr Will Forster, Mr Tim Hall and Mr Saj Hussain

Ex Officio:

Mr David Hodge (Leader of the Council), Mr Peter Martin (Deputy Leader and Cabinet Member for Economic Prosperity), Mrs Sally Ann B Marks (Chairman of the County Council) and Mr Nick Skellett CBE (Vice-Chairman of the County Council)

AGENDA

1 APOLOGIES FOR ABSENCE AND SUBSTITUTIONS

2 MINUTES OF THE PREVIOUS MEETING [11 APRIL 2016]

(Pages 1
- 6)

To agree the minutes as a true record of the meeting.

3 DECLARATIONS OF INTEREST

To receive any declarations of disclosable pecuniary interests from Members in respect of any item to be considered at the meeting.

Notes:

- In line with the Relevant Authorities (Disclosable Pecuniary Interests) Regulations 2012, declarations may relate to the interest of the member, or the member's spouse or civil partner, or a person with whom the member is living as husband or wife, or a person with whom the member is living as if they were civil partners and the member is aware they have the interest.
- Members need only disclose interests not currently listed on the Register of Disclosable Pecuniary Interests.
- Members must notify the Monitoring Officer of any interests disclosed at the meeting so they may be added to the Register.
- Members are reminded that they must not participate in any item where they have a disclosable pecuniary interest.

4 QUESTIONS AND PETITIONS

To receive any questions or petitions.

Notes:

1. The deadline for Member's questions is 12.00pm four working days before the meeting (*20 May 2016*).
2. The deadline for public questions is seven days before the meeting (*19 May 2016*).
3. The deadline for petitions was 14 days before the meeting, and no petitions have been received.

5 RECOMMENDATIONS TRACKER

(Pages 7
- 20)

To review the Committee's recommendations tracker.

6 PENSION FUND EXTERNAL AUDIT PLAN 2015/16

(Pages
21 - 40)

This report provides the Audit & Governance Committee with the Audit Plan for the external audit of the 2015/16 Pension Fund financial statements of the Council.

7 COMPLETED INTERNAL AUDIT REPORTS

(Pages
41 - 56)

The purpose of this report is to inform Members of the Internal Audit reports that have been completed since the last meeting.

- 8 INTERNAL AUDIT ANNUAL REPORT 2015/16** (Pages 57 - 104)
- This report summarises the work of Internal Audit for the period 1 April 2015 to 31 March 2016, identifying the main themes arising from the audit reviews and the implications for the County Council.
- 9 FULL YEAR SUMMARY OF INTERNAL AUDIT IRREGULARITY AND SPECIAL INVESTIGATIONS** (Pages 105 - 116)
- The purpose of this report is to inform members of the Audit and Governance Committee about irregularity investigations undertaken by Internal Audit in the period from 1 April 2015 to 31 March 2016.
- 10 WHISTLE BLOWING UPDATE** (Pages 117 - 126)
- To provide Audit and Governance Committee with a complete summary of whistle blowing investigations, promotional and developmental activity throughout 2015-16.
- 11 ANNUAL RISK MANAGEMENT REPORT** (Pages 127 - 144)
- This report enables the committee to meet its responsibilities for monitoring the development and operation of the council's risk management arrangements. To include Leadership Risk Register.
- 12 GOVERNANCE STRATEGY AND CODE OF CORPORATE GOVERNANCE** (Pages 145 - 164)
- This report provides an update on the changes made to the council's Governance Strategy and Code of Corporate Governance.
- 13 ANNUAL GOVERNANCE STATEMENT** (Pages 165 - 178)
- This report presents the Annual Governance Statement, which provides an assessment of the council's governance arrangements for the financial year ending 31 March 2016.
- 14 DATE OF NEXT MEETING**
- The next meeting of Audit & Governance Committee will be on 25 July 2016.

David McNulty
Chief Executive
Published: 18 May 2016

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